



1645
P# 9

PTO/SB/21 (08-03)

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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	10/088,046	
	Filing Date	June 7, 2002	
	First Named Inventor	Thonnard, Joelle	
	Art Unit	1645	
	Examiner Name	Baskar, Padmavathi	
Total Number of Pages in This Submission	7	Attorney Docket Number	BM45414

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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Eric A. Meade Registration No. 42,876
Signature	<i>E. A. Meade</i>
Date	September 17, 2003

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Typed or printed name	Eric A. Meade		
Signature	<i>E. A. Meade</i>	Date	September 17, 2003

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E. A. Meade
Eric A. Meade, REG. NO. 42,876
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DATE

Attorney Docket No. BM45414

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Thonnard, Joelle
Serial No.: 10/088,046
Filed: June 7, 2002
For: Novel Compounds

Group Art Unit: 1645
Examiner: Baskar, Padmavathi

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR 1.56**

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Applicants herein make available to the Patent and Trademark Office copies of the references, listed on the included Form PTO-1449.

Listed on the included Form PTO-1449 are references cited in the International Search Report (ISR) and International Preliminary Examination Report (IPE) for PCT/EP00/09034. It is noted that the ISR, IPE and references have already been received by the United States Patent and Trademark Office as indicated in the 371 Acceptance Notice (Form PCT/DO/EO/903).

The Examiner is requested to consider carefully the complete text of these references in connection with the examination of this application. It is believed that the Examiner will concur with Applicant's belief that the foregoing references do not adversely affect the patentability of the subject matter presently claimed, taken alone or in combination.

It is requested that the listed references be included in the "References Cited" portion of any patent issuing from this application.

(1) This Information Disclosure Statement is being filed:

☐ within three months of the filing date of the patent application.

- ☐ within three months of the date of entry into the national stage as set forth in 37 CFR 1.491 of the international application.
- ☒ **before** the mailing date of a first Office Action on the merits.
- ☐ **after** the mailing date of the first Office Action on the merits, but **before** the mailing date of
- a Final Action under 37 CFR 1.113,
a Notice of Allowance under 37 CFR 1.311, or
an action that otherwise closes prosecution,
- and is accompanied by either:
- ☐ the Statement under 37 CFR 1.97(e) (see "Statement" below), **or**
- ☐ the fee under 37 CFR 1.17(p).
- ☐ **after** the mailing date of
- a Final Action under 37 CFR 1.113,
a Notice of Allowance under 37 CFR 1.311, or
an action that otherwise closes prosecution,,
- and is accompanied by both:
- the Statement under 37 CFR 1.97(e) (see "Statement" below), **and**
the fee under 37 CFR 1.17(p).

(2) **Statement**

- ☐ The **undersigned attorney** hereby states that each item information contained in the Supplemental Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign patent application not more than three months prior to the filing of the Supplemental Information Disclosure Statement. *(If this box is checked, do not check any further Statement boxes.)*

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- ☐ The **person signing immediately below** hereby states that no item of information contained in the Supplemental Information Disclosure was cited in any communication from any foreign patent office in any counterpart foreign patent application or, to the knowledge of the person signing after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Supplemental Information Disclosure Statement.

The person making the statement is:

- ☐ the inventor(s) who sign(s) below:

Signature of the Inventor(s)

Typed or Printed Name of the Inventor(s)

or

- ☐ a person who is substantively involved in the preparation or prosecution of the application, and who is associated with the inventor(s), or with the assignee, or with anyone to whom there is an obligation to assign the application (37 CFR 1.565(c)) and who signs below:

Signature of the Person(s)

Typed or Printed Name of the Person

Address of Person Signing

or

- ☐ the undersigned attorney who signs on the basis of the information:
- ☐ supplied by the inventor(s).
 - ☐ supplied by an individual designated in 37 CFR 1.56(c).
 - ☐ in the attorney's file.

Signature of the Attorney (4) Fees

- ☒ No Fee is owed by the applicants.
- ☐ The IDS Fee of \$180.00 under 37 CFR 1.17(p) is enclosed herewith.

(5) **Method of Payment of Fees**


- ☐ Attached is a check in the amount of \$_____.
- ☐ Applicants hereby authorize the Commissioner to charge the \$180.00 IDS Fee to Deposit Account No. 50-0258.

(6) **Authorization to Charge Additional Fees**

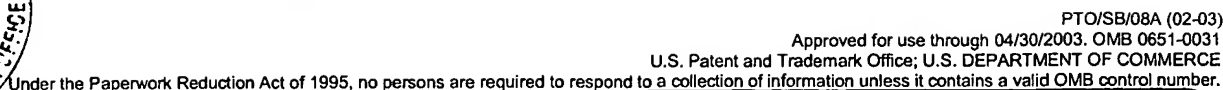
- ☒ Applicants hereby authorize the Commissioner to charge any additional fees deemed required for consideration of this Paper to Deposit Account No. 50-0258.

A prompt and favorable response is earnestly solicited.

Respectfully submitted,


Eric A. Meade
Registration No. 42,876

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**Complete if Known**

(Use as many sheets as necessary)

Sheet	1	of	2
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Application Number	10/088,046
Filing Date	June 7, 2002
First Named Inventor	Thonnard, Joelle
Art Unit	1645
Examiner Name	Baskar, Padmavathi
Attorney Docket Number	BM45414

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FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ^d
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				
		WO97/41731	11-13-1997	Antex Biologics, Inc.		
		WO98/06851	02-19-1998	Board of Regents...		
		WO00/78968	12-28-2000	Incyte Genomics...		

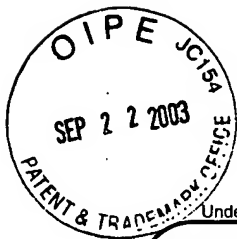
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)		Compl t if Known	
		Application Number	10/088,046
		Filing Dat	June 7, 2002
		First Named Inventor	Thonnard, Joelle
		Art Unit	1645
Examiner Name	Baskar, Padmavathi		
Attorney Docket Number	BM45414		
Sheet 2	of 2		

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OTHER PRIOR ART–NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		Accession No. Q99116 (November 1, 1996)	
		MAIDEN ET AL: "Comparison of the Class I Outer Membrane Proteins of Eight Serological Reference Strains of Neisseria meningitidis" Molecular Microbiology, vol. 5, no. 3, March 1991, pages 727-736.	
		International Search Report for PCT/EP00/09034	

Examiner Signature		Date Considered	
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